

Rivkin ASX Events Strategy

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STRATEGY FACTS

Strategy Manager Rivkin Securities

Construction ASX listed stocks

Rebalancing Date Discretionary*

Minimum Suggested Investment \$2000 (AUD)**

*Event frequency and impact affect the rebalancing of the portfolio.
**This amount is measured per trade.

THE RIVKIN EXPERIENCE

Rivkin has 5 decades of expertise in stock markets and has been guiding Australian investors for over 23 years. Rivkin is proud of our values of family, honesty, transparency, respect and simplicity. When you invest with Rivkin you have access to our actively managed model portfolios which are designed and maintained by our experienced team of experts. The Investment team has the combined experience of over 120 years, and the current team has on average 17 years of industry experience each to pick you the best stocks on a risk adjusted basis.

ABOUT THE STRATEGY

The ASX Event Portfolio has a history going back to the formation of the Rivkin business. The ASX Event Portfolio invests in corporate actions, usually taking the form of takeovers from which we hope to make a low-risk arbitrage profit. The return profile suits relatively conservative investors who are looking for a modest return with minimal volatility.

#1 The Events strategy targets **three main event types**.

- Takeovers
- Share buybacks
- Company wind-ups

#2 There is an expected average of **10 trades per year** with a range of 6-15 trades per year depending on market activity.

#3 The typical **holding period** for each trade is, on average, **3 - 4 months**.

#4 The last 15 event trades **averaged 6.86%**.

RECENT EVENT TRADES*

	ENTRY DATE	ENTRY PRICE	EXIT DATE	EXIT PRICE	GROSS P/L %
#1 Huon Aquaculture (HUO)	9 Aug 21	\$3.79	28 Jan 22	\$3.71	0.00
#2 Spark Infrastructure (SKI)	23 Aug 21	\$2.83	28 Jan 22	\$2.87	3.90
#3 Empired (EPD)	19 Jul 21	\$1.31	19 Jul 21	\$1.35	3.10
#4 Japara Healthcare Ltd (JHC)	27 Jul 21	\$1.38	27 Jul 21	\$2.56	1.45
#5 Rhipe Ltd (RHP)	6 Jul 21	\$2.50	6 Jul 21	\$3.42	2.24
#6 Think Childcare Limited (TNK)	17 Jun 20	\$3.21	17 Jun 21	\$48.16	6.45
#7 Woolworths Group Ltd. (WOW)	26 Aug 21	\$40.82	26 Aug 21	\$120.17	17.98
#8 Commonwealth Bank (CBA)	21 Aug 21	\$102.17	21 Aug 21	\$4.79	16.80
#9 Metcash (MTS)	28 Jun 21	\$3.65	28 Jun 21	\$1.33	29.50
#10 Vitalharvest Freehold (VTH)	30 Nov 20	\$0.97	30 Nov 20	\$1.33	39.70
#11 Vocus Group Ltd (VOC)	9 Mar 21	\$5.43	9 Mar 21	\$5.50	1.30
#12 Coca-Cola Amatil Ltd (CCL)	9 Nov 20	\$12.60	9 Nov 20	\$13.39	6.27
#13 Amaysim Ltd (AYS)	2 Nov 20	\$0.74	2 Nov 20	\$0.79	7.16
#14 Ampol Limited (ALD)	23 Nov 20	\$29.96	23 Nov 20	\$36.67	22.40
#15 The Citadel Group (CGL)	14 Sep 20	\$5.60	14 Sep 20	\$5.60	3.32

*In chronological order. This table is based on Rivkin's closed trades historical data. Past performance is not a reliable indicator of future performance.

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